INFORMATION REQUESTED FOR UPWARDS REPORTING

1. Expenditure Reports – requires breakdowns of dollar amounts
   a. Breakdown by strategies and by IOM target
      i. Information Dissemination
         • Selective
         • Indicated
         • Universal
         • Unspecified
      ii. Education
         • Selective
         • Indicated
         • Universal
         • Unspecified
      iii. Alternatives
         • Selective
         • Indicated
         • Universal
         • Unspecified
      iv. Problem identification and referral
         • Selective
         • Indicated
         • Universal
         • Unspecified
   v. Community based process
      • Selective
      • Indicated
      • Universal
      • Unspecified
   vi. Environmental
      • Selective
      • Indicated
      • Universal
      • Unspecified
   vii. Other
      • Selective
      • Indicated
      • Universal
      • Unspecified
   viii. Section 1926 Tobacco (Synar)
      • Selective
      • Indicated
      • Universal
      • Unspecified
b. IOM category totals
   i. Universal direct
   ii. Universal indirect
   iii. Selective
   iv. Indicated

c. Grant totals
   i. SAPT Block Grant
   ii. Other Federal
   iii. State
   iv. Local
   v. Other

d. State Expenditure Breakdown by Resource Development
   i. Planning, coordination and needs assessment
   ii. Quality assurance
   iii. Training (post employment)
   iv. Program development
   v. Research and evaluation
   vi. Information systems
   vii. Education (pre-employment)

e. Primary Prevention expenditure by service:
   i. Classroom and/or small group sessions (education)
   ii. Media campaigns
   iii. Systematic planning/coalition and community team building (community based processes)
   iv. Parenting and family management (education)
   v. Education programs for youth groups (education)
   vi. Community service activities (alternatives)
   vii. Student assistance programs (problem identification and referral)
   viii. Community team building (community based process)
   ix. Promoting the establishment or review of alcohol, tobacco and drug use policies (environmental)

2. Prevention strategy report
   a. List of Risks
   b. List of strategies addressing each risk
   c. Number of providers implementing each strategy

3. Primary prevention priorities and special population categories addressed (checklist)
   a. Targeted substances
      i. Alcohol
      ii. Tobacco
      iii. Marijuana
      iv. Prescription Drugs
      v. Cocaine
      vi. Heroin
      vii. Inhalants
      viii. Methamphetamine
ix. Synthetic Drugs

b. Targeted populations
   i. College students
   ii. Military families
   iii. LGBTQ
   iv. American Indians
   v. African American
   vi. Hispanic
   vii. Homeless
   viii. Native Hawaiian/Other Pacific Islanders
   ix. Asian
   x. Rural
   xi. Underserved racial and ethnic minorities

c. Unduplicated count of persons served
   i. White
      • 17 and Under
         ◦ Male
         ◦ Female
      • 18-24
         ◦ Male
         ◦ Female
      • 25-44
         ◦ Male
         ◦ Female
      • 45-64
         ◦ Male
         ◦ Female
      • 65 and over
         ◦ Male
         ◦ Female
   ii. Black or African American
      • Same breakdown as c.i. above
   iii. Native Hawaiian or other Pacific Islander
      • Same breakdown as c.i. above
   iv. Asian
      • Same breakdown as c.i. above
   v. American Indian/Alaskan Native
      • Same breakdown as c.i. above
   vi. More than one race reported
      • Same breakdown as c.i. above
   vii. Unknown
      • Same breakdown as c.i. above
   viii. Not Hispanic or Latino
      • Same breakdown as c.i. above
   ix. Hispanic or Latino
      • Same breakdown as c.i. above
4. Performance measures
   a. Individual-based programs and strategies measure: number of persons served by age, gender, race and ethnicity
      i. Age
         • 0-4
         • 5-11
         • 12-14
         • 15-17
         • 18-20
         • 21-24
         • 25-44
         • 45-64
         • 65 and over
      ii. Gender
          • Male
          • Female
          • Unknown
      iii. Race
          • White
          • Black or African American
          • Native Hawaiian/Other pacific islander
          • Asian
          • American Indian/Alaska Native
          • More than one race
          • Race not known or other
      iv. Ethnicity
          • Hispanic or Latino
          • Not Hispanic or Latino
          • Ethnicity Unknown
   b. Population based programs and strategies measure: number of persons served by age, gender, race and ethnicity
      i. Same breakdown as 4.a.
   c. Number of persons served by type of intervention for Individual-based and also for population based programs and strategies.
      i. Intervention Type
         • Universal Direct
         • Universal Indirect – population-based
         • Selective
         • Indicated
   d. Number of evidence-based programs by type of intervention
      i. Number of evidence-based programs and strategies funded
         • Universal direct
         • Universal indirect
         • Universal total
• Selective
• Indicated
• Total

ii. Total number of programs and strategies funded
• Universal direct
• Universal indirect
• Universal total
• Selective
• Indicated
• Total

iii. Percent of evidence-based programs and strategies
• Universal direct
• Universal indirect
• Universal total
• Selective
• Indicated
• Total

e. Total number of evidence-based programs and total SAPTBG dollars spent on evidence-based programs/strategies.
   i. Total Number of evidence-based programs/strategies for IOM category
      • Universal direct
      • Universal indirect
      • Selective
      • Indicated

   ii. Total SAPT Block Grant dollars spent on evidence-based programs/strategies
      • Corresponding to each category in e.i.

5. **Opioids**
   a. Currently unspecified, but will be singled out and consistent with above information requests

6. **STOP ACT Survey**
   a. Enforcement Strategies
      i. State, local law enforcement agencies use
         • Cops in shops
         • Shoulder tap operations
         • Party patrol operations or programs
         • Underage alcohol-related fatality investigations
         • Any state program to investigate and enforce direct sales/shipment laws

   b. Enforcement statistic
      i. State collects data on number of minors found in possession, including arrests/citations issued by local law enforcement agencies
      ii. State conducts underage compliance checks/decoy operations
         • Number of retail licenses in state
• Number checked for compliance
• Number failed compliance checks
• Number of random compliance checks
• Data collected on these activities

c. Sanctions
  i. Number of fines imposed by state
  ii. Total amount of fines across all licenses
  iii. Smallest fine imposed
  iv. Largest fine imposed
  v. Number of suspensions imposed by state
  vi. Total days of suspensions across all licenses
  vii. Shortest period of suspension imposed in days
  viii. Longest period of suspension imposed in days
  ix. Number of license revocations imposed